

# FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP 600 CONGRESS AVENUE, SUITE 2400 AUSTIN, TEXAS 78701-3271 WWW.FULBRIGHT.COM

GSHISHIMA@FULBRIGHT.COM DIRECT DIAL: (512) 536-3081 TELEPHONE:

(512) 474-5201

FACSIMILE:

(512) 536-4598

December 16, 2003

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

December 16, 2003

Date

Gina N. Shishima

MS DD

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 10/667,126 entitled "METHODS AND COMPOSITIONS FOR ISOLATING SMALL RNA MOLECULES" – Richard C. Conrad Our reference: AMBI:086US

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A6, B1, and C1-C16.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/AMBI:086US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Gina N. Shishima

Reg. No. 45,104

GNS/kmv Encl.: as noted

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard C. Conrad

Serial No.: 10/667,126

Filed: September 19, 2003

For: METHODS AND COMPOSITIONS FOR ISOLATING RNA MOLECULES

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: AMBI:086US

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December 16, 2003

Date

Gina N. Shishima

#### **INFORMATION DISCLOSURE STATEMENT**

MS DD Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits, and hence is believed to be timely filed

in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R.

§§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the

Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit

Account No.: 50-1212/AMBI:086US.

Applicant respectfully requests that the listed documents be made of record in the present

case.

Respectfully submitted,

Gina N. Shishima

Reg. No. 45,104

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

December 16, 2003

PTO-1449 (modified)

List Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No. AMBI:086US

Serial No. 10/667,126

**Applicant** 

Richard C. Conrad

Filing Date: September 19, 2003

Group: Unknown

**U.S. Patent Documents** See Page 1

**Foreign Patent Documents** See Page 1

Other Art See Page 1

### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,155,018	11/13/92	Gillespie and Cuddy	435	91	7/10/91
	A2	5,234,809	8/10/93	Boom et al.	435	91	7/1/91
	A3	5,990,302	11/23/99	Kuroita et al.	536	25.4	7/11/97
	A4	6,043,354	3/28/00	Hillebrand and Bendzko	536	25.42	7/16/96
	A5	6,110,363	8/29/00	Hillebrand and Bendzko	210	198.2	4/8/99
	A6	6,180,778	1/30/01	Bastian et al.	536	25.4	8/8/96

## **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	В1	EP 0 389 063	3/22/90	Europe			

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation				
	C1	Boom et al., "Rapid and simple method for purification of nucleic acids," J. Clin. Microbiol., 28(3):495-503, 1990.				
	C2	Carrington et al., "Role of microRNAs in plant and animal development," Science, 301:336-338, 2003.				
	С3	Chomczynski and Sacchi, "Single-step method of RNA isolation by acid guanidinium thiocyanate-phenol-chloroform extraction," <i>Anal. Biochem.</i> , 162(1):156-159, 1987.				
	C4	Fire et al., "Potent ans specific genetic interference by double-stranded RNA in Caenorhabditis elegans," <i>Nature</i> , 391(6669):806-811, 1998.				
	C5	Hamilton and Baulcombe, "A species of small antisense RNA in posttranscriptional gene silencing in plants," <i>Science</i> , 286(5441):950-952, 1999.				
	C6	Lagos-Quintana et al., "Identification of novel genes coding for small expressed RNAs," Science, 294(5543):853-858, 2001.				

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**EXAMINER:** 

**DATE CONSIDERED:** 

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

PTO-1449 (modified)

List Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No. AMBI:086US

Serial No. 10/667,126

Applicant

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Richard C. Conrad

September 19, 2003

Filing Date:

Group: Unknown

**U.S. Patent Documents** See Page 1

**Foreign Patent Documents** See Page 1

Other Art See Page 1

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation					
	C7	Lau et al., "An abundant class of tiny RNAs with probable regulatory roles in Caenorhabditis elegans," Science, 294(5543):858-862, 2001.					
	C8	Lee and Ambros, "An extensive class of small RNAs in Caenorhabditis elegans," Science, 294(5543)862-864, 2001.					
	C9	Lee et al., "The C. elegans heterochronic gene lin-4 encodes small RNAs with antisense complementarity to lin-4," Cell, 75(5):843-854, 1993.					
	C10	Pasquinelli <i>et al.</i> , "Conservation of the sequence and temporal expression of let-7 heterochronic regulatory RNA," <i>Nature</i> , 408(6808):86-89, 2000.					
	C11	Patterson and Guthrie, "An essential yeast snRNA with a U5-like domain is required for splicing in vivo," <i>Cell</i> , 49(5):613-624, 1987.					
	C12	Peacock and Dingman, "Resolution of multiple ribonucleic acid species by polyacrylamide gel electrophoresis" <i>Biochemistry</i> , 6(6):1818-1827, 1967.					
	C13	Tang et al., "A biochemical framwork for RNA silencing in plants," Genes Dev., 17(1):49-63, 2003.					
	C14	Timmons, "The long and short of siRNAs," Mol. Cell., 10(3):435-437, 2002.					
	C15	Zamore et al., "RNAi: double-stranded RNA directs the ATP-dependent cleavage of mRNA at 21 to 23 nucleotide intervals," Cell, 101(1):25-33, 2000.					
	C16	"Silencer siRNA Construction Kit: large scale synthesis and purification of siRNAs," Ambion, Inc., <a href="https://www.ambion.com">www.ambion.com</a> , p.1-10, 2003.					

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**EXAMINER:** 

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